

REQUEST FOR ESTABLISHMENT OF BUSINESS RELATIONSHIP / CHANGE OF BUSINESS RELATIONSHIP - Private individuals

1) Personal and contact information about the applicant

NOTE: When changing the existing data, it is obligatory to fill in the Name, Surname and UMCN.

Name Parent's name Surname

UMCN ID/Passport number Date of ID/ passport issuance.....

ID / passport expiration date..... Issued by

Country of identity card / passport issuer.....

DOB Place and country of birth..... Citizenship

Address from ID/passport number entrance floor apartment

City.....

Municipality.....

Residence address (contact address) number entrance floor
apartment.

City..... Municipality (If different from the address in ID/passport)

Residential address (contact address) means the address to which the Bank may send written notices to the client related to the contract concluded between the Bank and the client based on this request i.e. all written notices related to products and services used by the client based on this request. Written notices include information, instructions and notices provided to the client regarding products / approved on the basis of this request and concluded contract and product / products already used by the client in the Bank, including remittances sent by the Bank in case of default. The Bank shall deliver notifications on the termination of the contract to the client in the manner determined by the concluded contract.

Phone number:

home 1 mobile 1

home 2 mobile 2

E-mail

Status: Resident: ☐ domestic citizen

Non-resident: ☐ domestic citizen

☐ foreign citizen

☐ foreign citizen

2) The purpose and aim of establishing a business relationship with the Bank is:

.....

Do you act in your own name and on your own behalf:

☐ yes

☐ no

If the answer is NO, state the data of the person in whose name and on whose behalf you are acting:

Name Surname Date of Birth

Place and country of birth Identity card / passport number Issued by

Address from the ID card / passport City Country

3) The business relationship with the Bank is established by:

- ☐ Client
 ☐ Proxy
 ☐ Authorized person
☐ Legal representative
 ☐ Other

Information on the authorized person / proxy / legal representative (if authorized / power of attorney is given)

Name Surname UMCN

ID / passport number Issued by

Address from the ID card / passport City Country

Basis of representation / proxy / authorization

4) The request for establishing a business relationship with the Bank is for the purpose of:

<input type="checkbox"/> OPENING AN ACCOUNT	<input type="checkbox"/> AUTHORIZATION PER ACCOUNT
Current account for receiving earnings <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency	Savings avista account <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency
Current account for other purposes: <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency	Term deposit <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency

APPROVAL FOR THE MANNER OF COMMUNICATION WITH THE BANK

By signing this request, I consent for the Bank to communicate with me (information, instructions, notices regarding the products from this request and the concluded contract and the product / products that I already use in the Bank i.e. already concluded contracts) via one of the following channels:

- ☐ e-mail
 ☐ mail
 ☐ SMS
 ☐ e/m banking
 ☐ in person at the branch

If the data (residential address, e-mail address, mobile phone number, etc.) provided by the client in this request differ from the data previously submitted by the client to the Bank, the submission of this request is considered a subsequent written notice of change of data.

☐ ISSUING A DEBIT CARD

 Dina Card

☐ 2. VISA CLASSIC

☐ VISA GOLD

<input type="checkbox"/> LEASE OF SAFETY DEPOSIT BOX			<input type="checkbox"/> AUTHORIZATION FOR LEASE OF SAFETY DEPOSIT BOX	
Size:			Time period:	
<input type="checkbox"/> 1. small	<input type="checkbox"/> 2. medium	<input type="checkbox"/> 3. large	<input type="checkbox"/> 6 months	<input type="checkbox"/> 12 months

5) Employment data

Employment

☐ Employed ☐ for a definite period of time ☐ for an indefinite period of time

☐ Unemployed ☐ Dependent ☐ Retired

☐ Student ☐ Company owner ☐ Other

Occupation:

Employer information

Name of employer:

Employer address:

Have you been an official in the last four years* ? ☐ Yes ☐ No

Are you a member of the immediate family (spouse or common-law partner, parent, brother, sister, child, adopted child or stepchild, marital or extramarital partner) of the official? ☐ Yes ☐ No

Are you a person who makes joint profits from property or an established business relationship or has any other close business relationship with an official? ☐ Yes ☐ No

In case of an affirmative answer, the client fills in Annex 10

* **An official** is an official of another state, an official of an international organization and an official of the Republic of Serbia

- **An official of another state** is a natural person who performs or has performed a high public function in another state in the last four years, as follows:

- 1) The head of state and / or government, a member of the government and their deputy
- 2) Elected representative of the legislative body
- 3) A judge of the Supreme and Constitutional Court or another high-level judicial body, against whose judgment, except in exceptional cases, it is not possible to use a regular or extraordinary legal remedy
- 4) Member of the Court of Audit, i.e. a Supreme Audit Institution and members of the central bank's governing body
- 5) Ambassador, Charge d'Affaires and Senior Officer of the Armed Forces
- 6) Member of the administrative and supervisory body of a legal entity majority owned by a foreign state
- 7) Member of the governing body of a political party

- **An official of an international organization** is a natural person who holds or has held in the last four years a high public function in an international organization, such as: director, deputy director, member of a governing body, or other equivalent function in an international organization.

An official of the Republic of Serbia is a natural person who performs or has performed a high public function in the country in the last four years, namely:

- 1) The President, the Prime Minister, Minister, the State Secretary, the Special Adviser to the Minister, the Assistant Minister, the Secretary of the Ministry, the Director of the Ministry and their assistants, and the Director of the Special Organization, as well as their Deputy and their assistants and the Director of the Special Organization as well as their deputy and their assistants
- 2) Member of Parliament
- 3) Judges of the Supreme Court of Cassation, the Commercial Court of Appeals and the Constitutional Court
- 4) President, Vice-President and member of the Council of the State Audit Institution
- 5) Governor, Vice Governor, member of the Executive Board and member of the Board of Governors of the National Bank of Serbia

- **A member of the official's immediate family** is a marital or extramarital partner, parents, siblings, children, adopted children and stepchildren, and their marital or extramarital partners.
- **Close associate of an official** is a natural person who makes a joint profit from property or established business relationship or has any other close business relationship with the official (e.g. a natural person who is the formal owner of a legal entity or a foreign legal entity and the actual profit is made by the official).

STATEMENT (* F.A.T.C.A. and other foreign tax regulations):

With this statement I confirm that I am a taxpayer of other foreign countries ☐ * Yes ☐ No

This statement confirms that I am a citizen / resident (green card) of the United States of America for tax purposes.

☐ * YES - SSN NUMBER ☐ No

* If the client is a citizen / resident of the United States of America, the F.A.T.C.A statement / SNN number is required

With this statement I confirm that I am a resident _____ (cite foreign country) _____ ☐ YES * ☐ NO

All data obtained during business cooperation with the Client, API Bank ad Beograd keeps as a banking secret in accordance with the provisions of the Law on Banks.

1. Use of personal data to provide financial services

The personal data contained in this request and the accompanying documentation are collected and used by the Bank to establish business cooperation and provide the financial services you have requested.

More detailed information on the processing of personal data is available on the Bank's website <https://www.apibank.rs> - Protection of personal data, as well as in the Bank's branches, and your signature on this request confirms that you are familiar with the content of the document "Notice on processing personal data."

2. Use of personal data for marketing purposes

Pursuant to Article 15 of the Law on Personal Data Protection, the Bank may process your data for the purposes of direct marketing, market research, notification of promotional activities, products and services of the Bank, solely with your consent, which you can give us by ticking the appropriate box. :

☐ Yes, I consent

☐ No, I do not consent

Withdrawal of consent is possible at any time by filling out the form that can be found on the Bank's website and sending it to the email address zastita.podataka@apibank.rs or to the address of the registered seat of the Bank, or the registered address of its branches.

In case of revocation of consent, the Bank will terminate the process of processing your personal data for the above purposes, provided that this fact does not affect the processing of personal data that has been performed so far, based on consent.

By signing this document, I confirm that the information contained therein is true, that the Bank has the right to verify it and that I will personally notify the Bank without delay of any changes related to the above information. I agree that all personal data provided in this document may be used, processed, recorded and transmitted by the Bank, in accordance with the needs of its regular or usual activities and in accordance with positive legal regulations of the Republic of Serbia, international regulations (e.g. FATCA) and internal acts of API banka ad Beograd.

I declare and confirm that I am fully acquainted with and agree with the General Terms and Conditions of Business of API bank a.d. Beograd, as well as with all the conditions of the specific product, the Bank's offer and the proposal of contract, on the basis of which I submit this Request, by which I also accept the stated conditions.

I hereby declare that I am familiar with the Tariff relating to Bank's fees for Non-resident private individuals services and I accept the application of this Tariff, in particular including tariff heading related to the Bank's fees and expenses including the pre-contractual stage of the account opening process.

By signing this request, I confirm that I have been handed all the elements of the framework agreement that the Bank submitted to me in the pre-contractual phase.

Date of submission of the request.....

Stamp and signature of the employee
receiving the request _____

Signature
of the applicant _____