

REQUEST FOR ESTABLISHMENT OF BUSINESS RELATIONSHIP / UPDATE INFORMATION - Individuals

Personal and contact information about the applicant

Name Parent's name Surname

UMCN DOB Place and country of birth

Citizenship ID/Passport number

Date of ID/ passport issuance ID / passport expiration date

Issued by Country of identity card / passport issuer

Address from ID / passport

number entrance floor apartment City ZIP code

Municipality Country

Residence address (contact address) – if it is different from the address in ID/Passport

.....

number entrance floor apartment City ZIP code

Municipality Country

Residential address (contact address) means the address to which the Bank may send written notices to the client related to the contract concluded between the Bank and the client based on this request i.e. all written notices related to products and services used by the client based on this request. Written notices include information, instructions and notices provided to the client regarding products / approved on the basis of this request and concluded contract and product / products already used by the client in the Bank, including remittances sent by the Bank in case of default. The Bank shall deliver notifications on the termination of the contract to the client in the manner determined by the concluded contract.

Home phone number 1

Mobile phone number 1

Home phone number 2

Mobile phone number 2

E-mail address

Residential status

Resident: ☐ Domestic citizen

Non-resident: ☐ Domestic citizen

☐ Foreign citizen

☐ Foreign citizen

The business relationship with the Bank is established by

☐ Client ☐ Proxy ☐ Legal representative ☐ Authorized person ☐ Other

Do you act in your own name and on your own behalf:

☐ Yes

☐ No

If the answer is NO, state the data of the person in whose name and on whose behalf, you are acting:

Name Surname Date of birth

Place and country of birth Identity card / passport number

Issued by Address from the ID card / passport

City and country

The purpose of establishing a business relationship with the Bank (it is possible to specify more than one answer):

- | | |
|--|--|
| <input type="checkbox"/> Receiving salary/pension | <input type="checkbox"/> Deposit of funds to obtain a residence permit |
| <input type="checkbox"/> Savings | <input type="checkbox"/> For investing in real estate |
| <input type="checkbox"/> Settlement of regular living expenses | <input type="checkbox"/> To buy/sell secondary raw materials |
| <input type="checkbox"/> For buying and selling real estate | <input type="checkbox"/> To buy/sell digital currency |
| <input type="checkbox"/> Donations and assistance | <input type="checkbox"/> Loans and credit products |
| <input type="checkbox"/> Other..... | |

Information of the origin of funds that will be the subject of establishing a business relationship with the Bank (it is possible to specify more than one answer):

- | | |
|---|--|
| <input type="checkbox"/> Earnings and income from performing activities | <input type="checkbox"/> Alimony and other similar benefits |
| <input type="checkbox"/> Pension | <input type="checkbox"/> Income from investments, dividends, other income from capital |
| <input type="checkbox"/> Social benefits | <input type="checkbox"/> Income based on property rights to real estate, lease |
| <input type="checkbox"/> Personal / family savings | <input type="checkbox"/> Other..... |

Expected monthly account turnover:

The request for establishing a business relationship with the Bank is for the purpose of:

<input type="checkbox"/> OPENING AN ACCOUNT		<input type="checkbox"/> AUTHORIZATION PER ACCOUNT	
Current account for receiving earnings <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency		Savings avista account <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency	
Current account for other purposes <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency		Term deposit <input type="checkbox"/> RSD <input type="checkbox"/> foreign currency	
<input type="checkbox"/> ISSUING A DEBIT CARD			
<input type="checkbox"/> Dina Card <input type="checkbox"/> VISA CLASSIC <input type="checkbox"/> VISA GOLD			
<input type="checkbox"/> LEASE OF SAFETY DEPOSIT BOX		<input type="checkbox"/> AUTHORIZATION FOR LEASE OF SAFETY BOX	
Size: <input type="checkbox"/> small <input type="checkbox"/> medium <input type="checkbox"/> large		Time period: <input type="checkbox"/> 6 months <input type="checkbox"/> 12 months	

Information about business activity:

- | | | | | |
|-----------------------------------|-------------------------------------|---------------------------------------|--|-------------------------------------|
| <input type="checkbox"/> Employed | <input type="checkbox"/> Unemployed | <input type="checkbox"/> Dependent | <input type="checkbox"/> Company owner | <input type="checkbox"/> Freelancer |
| <input type="checkbox"/> Student | <input type="checkbox"/> Retired | <input type="checkbox"/> Entrepreneur | <input type="checkbox"/> Other..... | |

Occupation.....

Employer information:

Name of employer.....

Employer address.....

Information about PEP status:

Have you been an official in the last four years *

☐ Yes

☐ No

Are you a member of the immediate family (spouse or common-law partner, parent, brother, sister, child, adopted child or stepchild, marital or extramarital partner) of the official

☐ Yes

☐ No

Are you a person who makes joint profits from property or an established business relationship or has any other close business relationship with an official

☐ Yes

☐ No

* In case of an affirmative answer, the client fills in Appendix 10

* An official is an official of another state, an official of an international organization and an official of the Republic of Serbia

- **An official of another state** is a natural person who performs or has performed a high public function in another state in the last four years, as follows:

- 1) The head of state and / or government, a member of the government and their deputy
- 2) Elected representative of the legislative body
- 3) A judge of the Supreme and Constitutional Court or another high-level judicial body, against whose judgment, except in exceptional cases, it is not possible to use a regular or extraordinary legal remedy
- 4) Member of the Court of Audit, i.e. a Supreme Audit Institution and members of the central bank's governing body
- 5) Ambassador, Charge d'Affaires and Senior Officer of the Armed Forces
- 6) Member of the administrative and supervisory body of a legal entity majority owned by a foreign state
- 7) Member of the governing body of a political party

- **An official of an international organization** is a natural person who holds or has held in the last four years a high public function in an international organization, such as: director, deputy director, member of a governing body, or other equivalent function in an international organization.

- **An official of the Republic of Serbia** is a natural person who performs or has performed a high public function in the country in the last four years, namely:

1) The President, the Prime Minister, Minister, the State Secretary, the Special Adviser to the Minister, the Assistant Minister, the Secretary of the Ministry, the Director of the Ministry and their assistants, and the Director of the Special Organization, as well as their Deputy and their assistants and the Director of the Special Organization as well as their deputy and their assistants

2) Member of Parliament

3) Judges of the Supreme Court of Cassation, the Commercial Court of Appeals and the Constitutional Court

4) President, Vice-President and member of the Council of the State Audit Institution

5) Governor, Vice Governor, member of the Executive Board and member of the Board of Governors of the National Bank of Serbia

6) A person in a high position in diplomatic and consular missions (ambassador, consul general, charge d'affaires)

7) Member of the management body in a public enterprise or company majority owned by the state

8) Member of the governing body of a political party

8) **A member of the official's immediate family** is a marital or extramarital partner, parents, siblings, children, adopted children and stepchildren, and their marital or extramarital partners.

9) **Close associate of an official** is a natural person who makes a joint profit from property or established business relationship or has any other close business relationship with the official (e.g. a natural person who is the formal owner of a legal entity or a foreign legal entity and the actual profit is made by the official)

STATEMENT F.A.T.C.A. and other foreign tax regulations:

With this statement I confirm that I am a taxpayer of other foreign countries

☐ Yes*

☐ No

This statement confirms that I am a citizen / resident (green card) of the United States of America for tax purposes.

☐ * YES - SSN NUMBER..... ☐ NO

With this statement I confirm that I am a resident _____ ☐ YES

☐ NO

(cite foreign country)

* If the client is a citizen / resident of the United States of America, the F.A.T.C.A statement / SNN number is required

APPROVAL FOR THE MANER OF COMUNICATION WITH THE BANK

By signing this request, I consent for the Bank to communicate with me (information, instructions, notices regarding the products from this request and the concluded contract and the product / products that I already use in the Bank i.e. already concluded contracts) via one of the following channels:

☐ e-mail

☐ Mail

☐ SMS

☐ e/m Banking

☐ In person at the Branch

If the data (residential address, e-mail address, mobile phone number, etc.) provided by the client in this request different from the data previously submitted by the client to the Bank, the submission of this request is considered a subsequent written notice of change of data.

All data obtained during business cooperation with the Client, API Bank ad Beograd keeps as a banking secret in accordance with the provisions of the Law on Banks.

1. Use of personal data to provide financial services

The personal data contained in this request and the accompanying documentation are collected and used by the Bank to establish business cooperation and provide the financial services you have requested.

More detailed information on the processing of personal data is available on the Bank's website <https://www.apibank.rs>- Protection of personal data, as well as in the Bank's branches, and your signature on this request confirms that you are familiar with the content of the document "Notice on processing personal data."

2. Use of personal data for marketing purposes

Pursuant to Article 15 of the Law on Personal Data Protection, the Bank may process your data for the purposes of direct marketing, market research, notification of promotional activities, products and services of the Bank, solely with your consent, which you can give us by ticking the appropriate box: ☐ Yes, I consent ☐ No, I do not consent

Withdrawal of consent is possible at any time by filling out the form that can be found on the Bank's website and sending it to the email address zastita.podataka@apibank.rs or to the address of the registered seat of the Bank, or the registered address of its branches.

In case of revocation of consent, the Bank will terminate the process of processing your personal data for the above purposes, provided that this fact does not affect the processing of personal data that has been performed so far, based on consent.

By signing this document, I confirm that the information contained therein is true, that the Bank has the right to verify it and that I will personally notify the Bank without delay of any changes related to the above information. I agree that all personal data provided in this document may be used, processed, recorded and transmitted by the Bank, in accordance with the needs of its regular or usual activities and in accordance with positive legal regulations of the Republic of Serbia, international regulations (e.g. FATCA) and internal acts of API banka ad Beograd.

I declare and confirm that I am fully acquainted with and agree with the General Terms and Conditions of Business of API bank a.d. Beograd, as well as with all the conditions of the specific product, the Bank's offer and the proposal of contract, on the basis of which I submit this Request, by which I also accept the stated conditions.

I hereby declare that I am familiar with the Tariff relating to Bank's fees for Non-resident private individuals services and I accept the application of this Tariff, in particular including tariff heading related to the Bank's fees and expenses including the pre-contractual stage of the account opening process.

By signing this request, I confirm that I have been handed all the elements of the framework agreement that the Bank submitted to me in the pre-contractual phase.

.....
Date of submission of the request

.....
Signature of the applicant

.....
Stamp and signature of the employee*

**With my signature, I confirm that based on the data and information collected during the identification of the client, as well as information obtained independently from the client, I have estimated that the purpose of establishing a business relationship is credible.*